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TRANSMITTAL FORM

(Use for all correspondence after initial filing)

Total Number of Pages in This Submission

7

| | |
|--|------------------------------------|
| Application Number | 09/336,116 |
| Filing Date | June 18, 1999 |
| First Named Inventor | Chung Lam |
| Group Art Unit | 1746 |
| Examiner Name | Ahmed, S. |
| | COPY OF PAPERS ORIGINALLY FILED |
| Total Number of Pages in This Submission | 82225P1423d |

| ENCLOSURES (check all that apply) | | |
|---|--|--|
| <input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ | <input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosures (please identify below) |
| <div style="text-align: right; margin-right: 10px;">RECEIVED JAN 25 2002 1700</div> <div style="text-align: right; margin-right: 10px;">Return Receipt Postcard</div> <div style="border: 1px solid black; padding: 2px; width: 100px; height: 10px; margin-top: 5px;"></div> | | |
| Remarks | | |

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

| | |
|-------------------------|---|
| Firm or Individual name | James K. Dawson, Reg. No. 41, 701 BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN |
| Signature | |
| Date | December 4, 2001 |

CERTIFICATE OF MAILING (OR TRANSMISSION)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: December 4, 2001

| | |
|-----------------------|-------------------|
| Typed or printed name | Margaux Rodriguez |
| Signature | |
| | Date 12/04/01 |

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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FEES TRANSMITTAL

for FY 2002

Patent fees are subject to annual revision.

JAN 25 2002

TOTAL AMOUNT OF PAYMENT (\$)

Complete if Known

| | |
|------------------------|-------------|
| Application Number | 09/336,116 |
| Filing Date | 06/18/99 |
| First Named Inventor | Chung Lam |
| Examiner Name | Ahmed, S. |
| Group Art Unit | 1746 |
| Attorney Docket Number | 82225P1423d |

COPY OF PAPERS
ORIGINALLY FILED**METHOD OF PAYMENT** (check one)

1. The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number Deposit Account Name Charge Any Additional Fee Required Under 37CFR 1.16 and 1.17 Applicant claims small entity status. See 37 CFR 1.27

- 2.
-
- Payment Enclosed:

 Check Money Order Other**FEE CALCULATION****1. FILING FEE**

| Large Entity Small Entity | | | | Fee Paid |
|---------------------------|----------|----------|-----------------|------------------------|
| Fee Code | Fee Code | Fee Code | Fee Description | |
| 101 | 740 | 201 | 370 | Utility filing fee |
| 106 | 330 | 206 | 165 | Design filing fee |
| 107 | 510 | 207 | 255 | Plant filing fee |
| 108 | 740 | 208 | 370 | Reissue filing fee |
| 114 | 160 | 214 | 80 | Provisional filing fee |

SUBTOTAL (1) (\$)**2. CLAIMS**

| Total Claims | Independent Claims | Extra | Fee from below | Fee Paid |
|--------------------------------|--------------------------------|------------------------|------------------------|------------------------|
| <input type="text" value="8"/> | <input type="text" value="2"/> | = <input type="text"/> | X <input type="text"/> | = <input type="text"/> |
| | | | | = <input type="text"/> |

Multiple Dependent Claims

| Large Entity Small Entity | | | | |
|---------------------------|----------|----------|-----------------|---|
| Fee Code | Fee Code | Fee Code | Fee Description | |
| 103 | 18 | 203 | 9 | Claims in excess of 20 |
| 102 | 84 | 202 | 42 | Independent claims in excess of 3 |
| 104 | 280 | 204 | 140 | Multiple Dependent claim |
| 109 | 84 | 209 | 42 | Reissue independent claims over original patent |
| 110 | 18 | 210 | 9 | Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) (\$)

* or number previously paid, if greater. For Reissues, see above

3. ADDITIONAL FEE

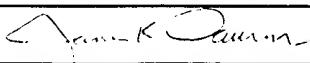
| Large Entity | Small Entity | Fee Description | Fee Paid |
|--------------|--------------|-----------------|---|
| Fee Code | Fee Code | Fee Description | Fee Paid |
| 105 | 130 | 205 | 65 Surcharge - late filing fee or oath |
| 127 | 50 | 227 | 25 Surcharge - late provisional filing fee or cover sheet |
| 139 | 130 | 139 | 130 Non-English specification |
| 147 | 2,520 | 147 | 2,520 For filing a request for ex parte reexamination |
| 112 | 920 | 112 | 920 Requesting publication of SIR prior to Examiner action |
| 113 | 1,840 | 113 | 1,840 Requesting publication of SIR after Examiner action |
| 115 | 110 | 215 | 55 Extension for response within first month |
| 116 | 400 | 216 | 200 Extension for response within second month |
| 117 | 920 | 217 | 460 Extension for response within third month |
| 118 | 1,440 | 218 | 720 Extension for response within fourth month |
| 128 | 1,960 | 228 | 980 Extension for response within fifth month |
| 119 | 320 | 219 | 160 Notice of Appeal |
| 120 | 320 | 220 | 160 Filing a brief in support of an appeal |
| 121 | 280 | 221 | 140 Request for oral hearing |
| 138 | 1,510 | 138 | 1,510 Petition to institute a public use proceeding |
| 140 | 110 | 240 | 55 Petition to revive - unavoidably |
| 141 | 1,280 | 241 | 640 Petition to revive - unintentionally |
| 142 | 1,280 | 242 | 640 Utility issue fee (or reissue) |
| 143 | 460 | 243 | 230 Design issue fee |
| 144 | 620 | 244 | 310 Plant issue fee |
| 122 | 130 | 122 | 130 Petitions to the Commissioner |
| 123 | 50 | 123 | 50 Petitions related to provisional applications |
| 126 | 180 | 126 | 180 Submission of Information Disclosure Stmt |
| 581 | 40 | 581 | 40 Recording each patent assignment per property (times number of properties) |
| 146 | 740 | 246 | 370 Filing a submission after final rejection (37 CFR 1.129(a)) |
| 149 | 740 | 249 | 370 For each additional invention to be examined (37 CFR 1.129(b)) |
| 179 | 740 | 279 | 370 Request for Continued Examination (RCE) |
| 169 | 900 | 169 | 900 Request for expedited examination of a design application |

Other fee (specify) _____

SUBTOTAL (3) (\$)

* Reduced by Basic Filing Fee Paid

SUBMITTED BY

| | | | | |
|-----------------------|---|------|-----------|---|
| Typed or Printed Name | James K. Dawson, Reg. No. 41, 701 | | | Complete (if applicable) |
| Signature |  | Date | 12/4/2001 | Reg. Number Deposit Account User ID 02-2666 |

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